INCIDENT, INJURY, TRAUMA AND ILLNESS

QUALITY AREA 2 | ELAA version 1.2



Purpose

This policy will define the:

- procedures to be followed if a child is ill, or is involved in a medical emergency or an incident at the service that results in injury or trauma
- responsibilities of staff, parents/guardians and the approved provider when a child is ill, or is involved in a medical emergency or an incident at the service that results in injury or trauma
- practices to be followed to reduce the risk of an incident occurring at the service.



POLICY STATEMENT

VALUES

Leslie Moorhead Pre-School Centre Inc. is committed to:

- providing a safe and healthy environment for all children, staff, volunteers, students and any other persons participating in or visiting the service
- responding to the needs of an injured, ill or traumatised child at the service
- preventing injuries and trauma
- preventing the spread of illness through simple hygiene practices, monitoring immunisation records and complying with recommended exclusion guidelines
- maintaining a duty of care to children and users of Leslie Moorhead Pre-School Centre Inc.

SCOPE

This policy applies to the approved provider, persons with management or control, nominated supervisor, persons in day-to-day charge, early childhood teachers, educators, staff, students, volunteers, parents/guardians, children and others attending the programs and activities of Leslie Moorhead Pre-School Centre Inc., including during offsite excursions and activities.

This space intentionally left blank



RESPONSIBILITIES	Approved provider and persons with management or control	Nominated supervisor and persons in day-to-day charge	Early childhood teacher, educators and all other staff	Parents/guardians	Contractors, volunteers and students
R indicates legislation requirement, and sho	uld not k	e delete	d		
Ensuring the <i>Incident, Injury, Trauma and Illness Policy</i> and procedures are in place (<i>Regulations 168</i>) and available to all stakeholders (<i>Regulations 171</i>)	R	√			
Taking reasonable steps to ensure that nominated supervisors, early childhood teachers, educators, staff and volunteers follow the policy and procedures and are aware of their responsibilities (Regulations 170)	R	V			
Ensuring that the premises are kept clean and in good repair	R	R	V		√
Maintaining effective supervision (refer to Supervision of Children Policy) for all enrolled children in all aspects of the service's program that is reflective of the children's needs, abilities, age and circumstances	R	R	√		
Regularly checking equipment in both indoor and outdoor areas for hazards (refer to Attachment 1), and taking the appropriate action to ensure the safety of the children when a hazard is identified	R	R	V		
Being proactive, responsive and flexible in using professional judgments to prevent injury from occurring	R	R	V		V
Having ready access to an operating telephone or other similar means of communication to enable immediate communication to and from parents and emergency services	R	V	V		
Ensuring that staff have access to medication, Incident, Injury, Trauma and Illness forms (refer to Sources) and WorkSafe Victoria incident report forms (refer to Sources)	R	V			
Ensuring that the service has an Occupational Health and Safety policy and procedures that outline the process for effectively identifying, managing and reviewing risks and hazards that are likely to cause injury, and reporting notifiable incidents to appropriate authorities (refer to Occupational Health and Safety Policy)	R	٧	٧		



Ensuring that there is a minimum of one educator with a current (within the previous 3 years) approved first aid qualification on the premises at all times (refer to Administration of First Aid Policy) As a demonstration of duty of care and evidence-based practice, ELAA recommends that all early childhood teachers and educators have current (within the previous 3 years) approved first aid qualifications, anaphylaxis management training and asthma management training.	R	√			
Ensuring that there are an appropriate number of up-to-date, fully equipped first aid kits that are accessible at all times (refer to Administration of First Aid Policy)	R	√	V		
Ensuring that children's enrolment forms contain all the prescribed information, including authorisation for the service to seek emergency medical treatment by a medical practitioner, hospital or ambulance service (Regulations 161)	R	V		V	
Notifying the service, upon enrolment or diagnosis, of any medical conditions and/or needs, and any management procedure to be followed with respect to that condition or need (Regulation 162)				V	
Informing the service of an infectious disease or illness that has been identified while the child has not attended the service, and that may impact on the health and wellbeing of other children, staff and parents/guardians attending the service				V	
Ensuring that the service is provided with a current medical management plan <i>(refer to Definitions)</i> , if applicable <i>(Regulation 162(d))</i>				V	
Notifying the service when their child will be absent from their regular program				√	
Notifying staff/educators if there is a change in the condition of a/their child's health, or if there have been any recent accidents or incidents that may impact on the child's care e.g. any bruising or head injuries.					
Part of the Child Safe Standards, it is important that if a child presents upon arrival to the service with any visible injury or trauma, this should be discussed and documented prior to the parent/guardian leaving the service (refer to Child Safe Environment and Wellbeing policy)	R	V	V	V	V
Responding immediately to any incident, injury or medical emergency (refer to procedures and Administration of First Aid policy)	R	R	R		



R	V	V		
R	V	V		
√	V	V		
R	V	V		
R	V			
R				
R	√	√		V
R	V			
			1	
R	√	V		
R	V			
R	V			
R	V	√	V	
			V	
R	√	√	√	
	R	R √ R √ R √ R √ R √ R √ R √ C ← C ← C ← C ← C ← C ← C ← C ← C ← C ←	R	R



be collected from the service, or informing parents/guardians if an ambulance has been called			
Collecting their child as soon as possible when notified of an incident, injury or medical emergency involving their child		 V	
Arranging payment of all costs incurred when an ambulance service required for their child at the service		√	



PROCEDURES

Ensuring that the following contact numbers are displayed in close proximity of each telephone:

- 000
- DE regional office
- Approved provider
- Asthma Australia: 1800 278 462
- Victorian Poisons Information Centre: 13 11 26
- Local council or shire.

When there is a medical emergency, all staff will:

- call an ambulance, where necessary
- administer first aid, and provide care and comfort to the child prior to the parents/guardians or ambulance arriving
- implement the child's current medical management plan, where appropriate
- notify parents/guardians as soon as is practicable of any serious medical emergency, incident or
 injury concerning the child, and request the parents/guardians make arrangements for the child
 to be collected from the service and/or inform the parents/guardians that an ambulance has
 been called
- notify other person/s as authorised on the child's enrolment form, if the parents/guardians are not contactable
- ensure ongoing supervision of all children in attendance at the service
- accompany the child in the ambulance when the parents/guardians are not present, provided that staff-to-child ratios can be maintained at the service
- notify the approved provider of the medical emergency, incident or injury as soon as is practicable
- complete and submit an incident report to DE, the approved provider and the service's public liability insurer following a serious incident.

When a child develops symptoms of illness while at the service, all staff will:

- observing the symptoms of children's illnesses and injuries and systematically recording and sharing this information with families (and medical professionals where required)
- ensure that the nominated supervisor, or person in day-to-day care of the service, contacts the parents/guardians or authorised emergency contact for the child
- request that the child is collected from the service if the child is not well enough to participate in the program
- ensure that they separate the child from the group and have a staff member remain with the child until the child recovers, a parent/guardian arrives or another responsible person takes charge
- call an ambulance (refer to definition of medical emergency) if a child appears very unwell or has a serious injury that needs urgent medical attention
- ensure that the child is returned to the care of the parent/guardian or authorised emergency contact person as soon as is practicable



- ensure that, where medication, medical or dental treatment is obtained, the parents/guardians
 are notified as soon as is practicable and within 24 hours, and are provided with details of the
 illness and subsequent treatment administered to the child
- ensure that the approved provider is notified of the incident
- ensure that the Incident, Injury, Trauma and Illness Record is completed as soon as is practicable and within 24 hours of the occurrence.

Details that must be entered in the Incident, Injury, Trauma and Illness Record include the following:

- the name and age of the child
- the circumstances leading to the incident, injury or trauma, or relevant circumstances surrounding the child becoming ill (including any symptoms)
- the time and date the incident occurred, the injury was received or the child was subjected to the trauma, or the apparent onset of the illness
- the action taken by the service, including any medication administered, first aid provided or medical personnel contacted
- details of any person who witnessed the incident, injury or trauma, or the apparent onset of illness
- the name of any person the service notified, or attempted to notify, of any incident, injury, trauma or illness that a child suffered while being educated and cared for by the service, and the time and date of the notifications/attempted notifications
- the name and signature of the person making an entry in the record, and the time and date that the entry was made
- signature of a parent/guardian to verify that they have been informed of the occurrence.

All information will be included in the Incident, Injury, Trauma and Illness Record as soon as is practicable, but not later than 24 hours after the incident, injury or trauma, or the onset of the illness.



BACKGROUND AND LEGISLATION

BACKGROUND

People responsible for managing early childhood services and caring for children have a duty of care towards those children. All service staff have a responsibility and a duty of care to act to prevent accidents and emergencies at the service.

An approved service must have policies and procedures in place in the event that a child is injured, becomes ill or suffers trauma. These procedures should be followed and must include the requirement that a parent/guardian be notified in the event of an incident, injury, illness or trauma relating to their child as soon as possible and within 24 hours of the occurrence.

The *National Regulations* require an accurate Incident, Injury, Trauma and Illness Record to be kept and stored confidentially until the child is 25 years old (*Regulation 183(2*)).

Under the national legislation, each service must ensure that an entry is recorded in the Incident, Injury, Trauma and Illness Record for the following occurrences:

- an incident in relation to a child, an injury received by a child or trauma to which a child has been subjected
- an illness that becomes apparent.

Medical emergencies may include serious health issues such as asthma, anaphylaxis, diabetes, fractures, choking and seizures. Such emergencies generally involve only one child, however they can affect everyone in the children's service. In some cases it will be appropriate to refer to specific policies for guidance, such as the *Dealing with Medical Conditions Policy, Asthma Policy, Anaphylaxis and Allergic Reactions Policy, Diabetes Policy and Epilepsy and Seizures Policy.*



LEGISLATION AND STANDARDS

Relevant legislation and standards include but are not limited to:

- Australian Standards AS3745–2002, Emergency control procedures for buildings, structures and workplaces
- Education and Care Services National Law Act 2010: Section 174(2)
- Education and Care Services National Regulations 2011: Regulations 77, 85–87, 103, 177, 183
- Public Health and Wellbeing Act 2008 (Vic)
- Public Health and Wellbeing Regulations 2009 (Vic)
- Occupational Health and Safety Act 2004 (Vic)
- Occupational Health and Safety Regulations 2007
- WorkSafe Victoria Compliance Code: First aid in the workplace (2008)
- National Quality Standard, Quality Area 2: Children's Health and Safety
- National Quality Standard, Quality Area 3: Physical Environment
- National Quality Standard, Quality Area 7: Governance and Leadership
- Therapeutic Goods Act 1989 (Cth)

The most current amendments to listed legislation can be found at:

- Victorian Legislation Victorian Law Today: www.legislation.vic.gov.au
- Commonwealth Legislation Federal Register of Legislation: www.legislation.gov.au



DEFINITIONS

The terms defined in this section relate specifically to this policy. For regularly used terms e.g. Approved Provider, Nominated Supervisor, Notifiable complaints, Serious Incidents, Duty of Care, etc. refer to the Definitions file of the PolicyWorks catalogue.

Emergency services: Includes ambulance, fire brigade, police and state emergency services.

First aid: Is the immediate treatment or care given to a person suffering from an injury or illness until more advanced care is provided or the person recovers. First aid training should be delivered by approved first aid providers, and a list is published on the ACECQA website: acecqa.gov.

Hazard: A source or situation with a potential for harm in terms of human injury or ill health, damage to property, damage to the environment or a combination of these.

Illness: Any sickness and/or associated symptoms that affect the child's normal participation in the program at the service.

Incident, Injury, Trauma and Illness Record: Contains details of any incident, injury, trauma or illness that occurs while the child is being educated and cared for by the service. The Approved Provider must ensure an Injury, Trauma and Illness Record is kept in accordance with *Regulation 87 of the Education and Care Services National Regulations 2011* and kept for the period of time specified in *Regulation 183*. A sample is available on the ACECQA website: www.acecqa.gov.au (search 'Sample forms and templates').

Incident: Any unplanned event resulting in or having potential for injury, ill health, damage or other loss.

Injury: Any physical damage to the body caused by violence or an incident.

Medical attention: Includes a visit to a registered medical practitioner or attendance at a hospital.

Medical emergency: An injury or illness that is acute and poses an immediate risk to a person's life or long-term health.



Medication: Medicine within the meaning of the *Therapeutic Goods Act 1989* of the Commonwealth. Medicine includes prescription, over-the-counter and complementary medicines. All therapeutic goods in Australia are listed on the Australian Register of Therapeutic Goods, available on the Therapeutic Goods Administration website tga.gov.au

Minor incident: An incident that results in an injury that is small and does not require medical attention.



SOURCES AND RELATED POLICIES

SOURCES

- ACECQA sample forms and templates: www.acecqa.gov.au
- Building Code of Australia: www.abcb.gov.au
- Staying Healthy: Preventing infectious diseases in early childhood education and care services (5th edition, 2013) National Health and Medical Research Council: www.nhmrc.gov.au
- VMIA Insurance Guide, Community Service Organisations program: www.vmia.vic.gov.au
- WorkSafe Victoria: Guide to Incident Notification: www.worksafe.vic.gov.au
- WorkSafe Victoria: Online notification forms: www.worksafe.vic.gov.au

RELATED POLICIES

- Administration of First Aid
- · Administration of Medication
- Anaphylaxis and Allergic Reactions
- Asthma
- Child Safe Environment and Wellbeing
- Dealing with Infectious Diseases
- Dealing with Medical Conditions
- Delivery & Collection of Children
- Diabetes
- Emergency and Evacuation
- Epilepsy and Seizures
- Excursions and Service Events
- Hygiene
- Occupational Health and Safety
- Privacy and Confidentiality
- Road Safety and Safe Transport



EVALUATION

In order to assess whether the values and purposes of the policy have been achieved, the approved provider will:

- regularly seek feedback from everyone affected by the policy regarding its effectiveness
- monitor the implementation, compliance, complaints and incidents in relation to this policy
- review and analyse information gathered from the Incident, Injury, Trauma and Illness Record and staff first aid records regarding incidents at the service
- keep the policy up to date with current legislation, research, policy and best practice
- revise the policy and procedures as part of the service's policy review cycle, or as required
- notify all stakeholders affected by this policy at least 14 days before making any significant changes to this policy or its procedures, unless a lesser period is necessary due to risk (Regulation 172 (2)).



ATTACHMENTS



- Attachment 1: Sample hazard identification checklist
- Attachment 2: Regulations and Legislation relevant to this policy



AUTHORISATION

This policy was adopted by the approved provider of Leslie Moorhead Pre-School Centre Inc. on 24th September 2021

Reviewed and revised by: Sallie McCarthy Ratified Date: 11th June 2025

Next Review Date: October 2026



ATTACHMENT 1. SAMPLE HAZARD IDENTIFICATION CHECKLIST

Service:					
Date:					
Inspected by:					
Hazard	Yes	No	Comments		
1. Floors	ļ.,	,			
Surface is even and in good repair					
Surface is free from tripping and slipping hazards (e.g. oil, water, sand)					
Surface is safe (e.g. not likely to become excessively slippery when wet)					
2. Kitchen and work benches					
Work bench space is adequate and at comfortable working height					
Kitchen and work bench space is clean and free of clutter					
Equipment not in use is properly stored					
Lighting is satisfactory					
A door or gate restricts child access to the kitchen					
Ventilation fan is in good working order					
Kitchen appliances are clean and in good working order					
3. Emergency evacuation					
Staff have knowledge of fire drills and emergency evacuation procedures					
Fire drill instructions are displayed prominently in the service					
Regular fire drills are conducted					
Extinguishers are in place, recently serviced and clearly marked for type of fire					
Exit signs are posted and clear of obstructions					
Exit doors are easily opened from inside					
4. Security and lighting		'			
Security lighting is installed in the building and car park					
There is good natural lighting					
There is no direct or reflected glare					
Light fittings are clean and in good repair					



Emergency lighting is readily available and operable (e.g. torch)	
5. Windows	
Windows are clean, admitting plenty of daylight	
Windows have no broken panes	
6. Steps and landings	
All surfaces are safe	
There is adequate protective railing which is in good condition	
7. Ladders and steps	
Ladders and steps are stored in a proper place	
Ladders and steps are free of defects (e.g. broken or missing rungs etc.)	
They conform to Australian Standards	
They are used appropriately to access equipment stored above shoulder height	
8. Chemicals and hazardous substances	
All chemicals are clearly labelled	
All chemicals are stored in locked cupboard	
Material Safety Data Sheets (MSDS) are provided for all hazardous substances	
9. Storage (internal and external)	
Storage is designed to minimise lifting problems	
Materials are stored securely	
Shelves are free of dust and rubbish	
Floors are clear of rubbish or obstacles	
Dangerous material or equipment is stored out of reach of children	
10. Manual handling and ergonomics	
Trolleys or other devices are used to move heavy objects	
Heavy equipment (such as planks and trestles) is stored in a way that enables it to be lifted safely	
Adult-sized chairs are provided and used for staff (to avoid sitting on children's chairs)	
Workstations are set up with the chair at the correct height	
Workstations are set up with phone, mouse and documents within easy reach and screen adjusted properly	



Work practices avoid the need to sit or stand for long periods at a time	
11. Electrical	
There are guards around heaters	
Equipment not in use is properly stored	
Electrical equipment has been checked and tagged	
Use of extension leads, double adaptors and power boards are kept to a minimum	
Plugs, sockets or switches are in good repair	
Leads are free of defects and fraying	
Floors are free from temporary leads	
There are power outlet covers in place	
12. Internal environment	
Hand-washing facilities and toilets are clean and in good repair	
There is adequate ventilation around photocopiers and printers	
13. First aid and infection control	
Staff have current approved first aid qualifications and training	
First aid cabinet is clearly marked and accessible	
Cabinet is fully stocked and meets Australian Standards (refer to Administration of First Aid Policy)	
Disposable gloves are provided	
Infection control procedures are in place	
Current emergency telephone numbers are displayed	
14. External areas	
Fencing is secure, unscalable and of a height prescribed by the Building Code of Australia (no breaches in the fence or materials left adjacent that would assist children to scale the fence)	
Child-proof locks are fitted to gates	
Paving and paths have an even surface and are in good repair	
Paving and path surfaces are free of slipping hazards, such as sand	
Soft-fall and grass areas are free of hazards	
Equipment and materials used are in good repair and free of hazards	



ATTACHMENT 2. LEGISTLATION AND REGULATIONS RELEVANT TO THIS POLICY

Law	174 (2)	An approved provider must notify the Regulatory Authority of the following information in relation to an approved education and care service operated by the approved provider—
		(a) any serious incident at the approved education and care service.
		(b) any complaints alleging—
		(i) that a serious incident has occurred or is occurring while a child was or is being educated and cared for by the approved education and care service; or
		(ii) that this Law has been contravened.
		(c) information in respect of any other prescribed matters.
		Hoolibe softehy and wellbeing of shildren
Regulation	77	Health, safety and wellbeing of children
		Health, hygiene and safe food practices
		(1) The approved provider of an education and care service must ensure that nominated supervisors and staff members of, and volunteers at, the service implement—
		(a) adequate health and hygiene practices; and
		(b) safe practices for handling, preparing and storing food— to minimise risks to children being educated and cared for by the service.
		(2) A nominated supervisor of an education and care service must implement, and ensure that all staff members of, and volunteers at, the service implement—
		(a) adequate health and hygiene practices; and
		(b) safe practices for handling, preparing and storing food—to minimise risks to children being educated and cared for by the service.
	85	Incident, injury, trauma and illness policies and procedures
		The incident, injury, trauma and illness policies and procedures of an education and care service required under regulation 168 must include procedures to be followed by nominated supervisors and staff members of, and volunteers at, the service in the event that a child—
		(a) is injured; or
		(b) becomes ill; or
		(c) suffers a trauma.
	86	Notification to parents of incident, injury, trauma and illness
		The approved provider of an education and care service must ensure that a parent of a child being educated and cared for by the service is notified as soon as practicable, but not later than 24 hours after the occurrence, if the child is involved in any incident, injury, trauma or illness while the child is being educated and cared for by the education and care service.
	87	Incident, injury, trauma and illness record
	-	(1) The approved provider of an education and care service must ensure that an incident, injury, trauma and illness record is kept in accordance with this regulation.
		(2) A family day care educator must keep an incident, injury, trauma and illness record in accordance with this regulation.



vhich care
ared
ared
ared
ared
or in g r,
injury,
date
iry,
on on and
d in
ay
i e e



- (f) a record of volunteers and students as set out in regulation 149;
- (g) the records of the responsible person at the service as set out in regulation 150;
- (h) in the case of a centre-based service, a record of educators working directly with children as set out in regulation 151;
- (i) a record of access to early childhood teachers as set out in regulation 152;
- (j) in the case of a family day care service, a record of staff engaged or employed by the service kept under regulation 154;
- (k) a children's attendance record as set out in regulation 158;
- (I) child enrolment records as set out in regulation 160;
- (m) a record of the service's compliance with the Law as set out in regulation 167;
- (n) a record of each nominated supervisor and any person in day-to-day charge of the education and care service under section 162 of the Law.
- (2) The approved provider of the education and care service must take reasonable steps to ensure the documents referred to in sub regulation (1) are accurate.
- (3) Subject to Subdivision 4, the approved provider of the education and care service must ensure that—
 - (a) subject to sub regulation (4), the documents referred to in sub regulation (1) in relation to a child enrolled at the service are made available to a parent of the child on request;
 - (b) the record of compliance referred to in sub regulation (1)(m) is able to be accessed on request by any person.
- (4) If a parent's access to information of the kind in the documents referred to in sub regulation (1) is limited by an order of a court, the approved provider must refer to the court order in relation to the release of information concerning the child to that parent.
- (5) An approved provider of a family day care service is not required to keep a document set out in sub regulation (1) if an equivalent record is kept by a family day care educator under regulation
- 183 Storage of records and other documents
 - (1) The approved provider of an education and care service must ensure that records and documents set out in regulation 177 are stored—
 - (a) in a safe and secure place; and
 - (b) for the relevant period set out in sub regulation (2).
 - (2) The records must be kept-
 - (a) if the record relates to an incident, illness, injury or trauma suffered by a child while being educated and cared for by the education and care service, until the child is aged 25 years;
 - (b) if the record relates to an incident, illness, injury or trauma suffered by a child that may have occurred following an incident while being educated and cared for by the education and care service, until the child is aged 25 years;
 - (c) if the record relates to the death of a child while being educated and cared for by the education and care service or that may have occurred as a result of an incident while being educated and cared for, until the end of 7 years after the death;
 - (d) in the case of any other record relating to a child enrolled at the education and care service, until the end of 3 years after the last date on which the child was educated and cared for by the service;



(e) if the record relates to the approved provider, until the end of 3 years after the last date on which the approved provider operated the education and care service;
(f) if the record relates to a nominated supervisor or staff member of an education and care service, until the end of 3 years after the last date on which the nominated supervisor or staff member provided education and care on behalf of the service;
(g) in case of any other record, until the end of 3 years after the date on which the record was made.

